IAP13 Rec'd PCT/PTO 1 4 FEB 2007

| FORM PTO-1390 (REV. 01-2003) | | | | ATTORNEY'S DOCKET NUMBER 128045 | | | | | |
|---|-------------|--|--|---|--|--|--|--|--|
| | | ANSMITTAL LETTER TO THE SIGNATED OF THE STATE OF THE STAT | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | |
| | 10/579,297 | | | | | | | | |
| INTE | | ONCERNING A FILING UN TIONAL APPLICATION NO. | PRIORITY DATE CLAIMED | | | | | | |
| | | 04/004459 | INTERNATIONAL FILING DATE November 19, 2004 | November 20, 2003 | | | | | |
| TITLE OF INVENTION HYDROCEPHALUS TREATMENT | | | | | | | | | |
| APPLICANTS FOR DO/EO/US Tsuyoshi TADA et al. | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. | | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | | |
| 5. | | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. | | | | | | | |
| | | b. \square has been communicated by | the International Bureau. | | | | | | |
| | | c. \square is not required, as the applic | cation was filed in the United States | s Receiving Office (RO/US). | | | | | |
| 6. | | An English language translation of | the International Application as file | d (35 U.S.C. 371(c)(2)) | | | | | |
| | | a. is attached hereto. | | | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| | | c. The International Application was filed in English. | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| | | d. have not been made and will not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items | s 11 t | o 20 below concern document(s) | or information included: | | | | | | |
| 11. | | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | · | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. | | A preliminary amendment. | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | | A substitute specification. | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | \boxtimes | Notification of Acceptance and Official Filing Receipt Status Request. | | | | | | | |
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| U.S. APPLICATION NO. (if known, see 3 10/579,297 | ATTORNEY'S DOCKET NUMBER 128045 | | | | | | | | | |
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| 21. The following fees are | submitted: | PCT/IB2004/004459 | | CALCULATIONS | PTO USE ONLY | | | | | |
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| BASIC NATIONAL FEE (37 CF | \$ | | | | | | | | | |
| SEARCH FEE (37 CFR 1.492(I | \$ | | | | | | | | | |
| International preliminary examinathe USPTO as IPEA or ISA an industrial applicability for all clanational phase | | | | | | | | | | |
| International search fee (37 CF | | | | | | | | | | |
| International search report prov the search fee is paid | | | | | | | | | | |
| All situations not provided for a | | | | | | | | | | |
| EXAMINATION FEE (37 CFR 1 | \$ | | | | | | | | | |
| International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase | | | | | | | | | | |
| Surcharge of \$130.00 for furnis | | | | \$ | | | | | | |
| declaration after the date of cor | mmencement of | the national phase (37 | CFR 1.492(h)). | Ψ | | | | | | |
| APPLICATION SIZE FEE | ÷ 50 | = † | x 250 = | \$ | | | | | | |
| Total pages - 100 = tround up to next integer | | l | l | | <u> </u> | | | | | |
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| TOTAL CLAIMS | - 20 | = | x 50.00 = | \$ | T | | | | | |
| INDEPENDENT CLAIMS | - 3 | = | x 200.00 = | \$ | | | | | | |
| MULTIPLE DEPENDENT CLAI | IM(S)(if applicabl | e) | + 360.00 = | \$ | 1 | | | | | |
| | \$ | | | | | | | | | |
| Applicant claims small enti reduced by ½. | ity status. See 3 | 7 CFR 1.27. The fees | indicated above are | \$ | | | | | | |
| reduced by 72. | | | SUBTOTAL = | \$ | | | | | | |
| Processing fee of \$130.00 for fithe earliest claimed priority date | \$ | _, _ | | | | | | | | |
| the camest claimed priority date | 0 (0) 0) 11 11 102 | | NATIONAL FEE = | \$ | | | | | | |
| Fee for recording the enclosed accompanied by an appropriate | \$ | | | | | | | | | |
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| | | | | refunded: | \$ | | | | | |
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| b. Please charge my [| p. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this | | | | | | | | | |
| c. The Commissioner | | | | | | | | | | |
| Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropria | ite time limit uni | der 37 CFR 1.495 has | not been met, a peti | tion to revive (37 CF | FR 1.137(a) or (b)) | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: | | | | | | | | | | |
| OLIFF & BERRIDGE, F | | | 1)1 | | - | | | | | |
| Customer Number: | NAME: Willia | m P. Berridge | | | | | | | | |
| | | | REGISTRATIO | ON NUMBER: 30,0 |)24 | | | | | |
| Date February 14, 2007 | | | NAME: Rand | i B. Isaacs | | | | | | |
| | | | REGISTRATIO | ON NUMBER: 56,0 |)46 | | | | | |
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